

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: JERUSSI et al.

Application No.: To be assigned
(DIV of 09/527,442, filed March 17, 2000)

Group Art Unit: To Be Assigned

Filed: Herewith

Examiner: To Be Assigned

For: DERIVATIVES OF VENLAFAXINE
AND METHODS OF PREPARING
AND USING SAME

Attorney Docket No.: 4821-531-999

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. § 1.56, enclosed is a list of forty two (42) references for the Examiner's review and consideration. These references are listed on the enclosed Form PTO-1449 entitled "List of References Cited by Applicant." These references (A01-C22) are listed in reverse-chronological or alphabetical order. Copies of these references are not enclosed as the copies were submitted in connection with U.S. Application No. 09/527,442, filed March 17, 2000, which is the parent of this application, or cited by the Examiner in the parent application. Copies will be provided, however, upon the Examiner's request.

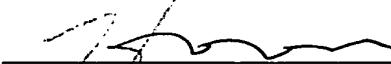
Identification of the foregoing references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since that form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith a "revised form PTO 1449" entitled "List of References Cited."

Applicants respectfully request that the Examiner review the references and make them of record by completing and returning the enclosed List of References.

No fee is believed to be due for the submission of this statement since it is being made prior to a first Office Action on the merits in the above case. If any fees are required, however, please charge such fees to Pennie & Edmonds LLP's Deposit Account No. 16-1150.

Respectfully submitted,

Date November 25, 2003


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Enclosure

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| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | ATTY DOCKET NO. 4821-531-999 | APPLICATION NO To Be Assigned |
| | APPLICANT JERUSSI et al. | |
| | FILING DATE November 25, 2003 | GROUP To Be Assigned |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|----------|-------------------|-------|----------|----------------------------|
| A01 | 5,788,986 | 08/1998 | Dodman | | | |
| A02 | 5,733,566 | 3/31/98 | Lewis | | | |
| A03 | 5,674,533 | 10/7/97 | Santus et al. | | | |
| A04 | 5,639,476 | 6/17/97 | Oshlack et al. | | | |
| A05 | 5,591,767 | 1/7/97 | Mohr et al. | | | |
| A06 | 5,354,556 | 10/11/94 | Sparks et al. | | | |
| A07 | 5,120,548 | 6/9/92 | McClelland et al. | | | |
| A08 | 5,073,543 | 12/17/91 | Marshall et al. | | | |
| A09 | 5,059,595 | 10/22/91 | Le Grazie | | | |
| A10 | 4,761,501 | 08/1988 | Husbands et al. | | | |
| A11 | 4,008,719 | 2/22/77 | Theeuwes et al. | | | |
| A12 | 3,916,899 | 11/4/75 | Theeuwes et al. | | | |
| A13 | 3,845,770 | 11/5/74 | Theeuwes et al. | | | |
| A14 | 3,598,123 | 8/10/71 | Zaffaroni | | | |
| A15 | 3,536,809 | 10/27/70 | Applezweig | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | YES | NO |
|--|-----|-----------------|---------|---------------|-------|----------|-------------|-----|----|
| | B01 | 0 112 669 A | 07/1984 | EPO | | | | | |
| | B02 | 2 227 743 A | 08/1990 | Great Britain | | | | | |
| | B03 | 94/00114 | 01/1994 | WIPO | | | | | |
| | B04 | 94/00047 | 01/1994 | WIPO | | | | | |
| | B05 | 0 654 264 A | 05/1995 | EPO | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| C01 | Application of a First-Pass Effect Model to Characterize the Pharmacokinetic Disposition of Venlafaxine After Oral Administration to Human Subjects, Taft et al., DRUG METABOLISM AND DISPOSITION, Vol. 25, No. 10, pages 1215-1218, October 1997. |
| C02 | Askew, A Simple Screening Procedure for Imipramine-Like Antidepressant Agents, Life Sciences No. 10, September 1963, pp. 725-730/ |
| C03 | Castello, R.A., and Mattocks, A.M., J. Phram. Sci. 51(2):106-108 (1962) |
| C04 | Handbook of Pharmaceutical Excipients, 2 nd ed., Wade and Willer eds., pp. 257-259 (1994). |

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | ATTY DOCKET NO. | APPLICATION NO |
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| C05 | Haskins et al., <i>DMI, Wy-45,030, Wy-45,881 and Ciramadol Inhibit Locus Coeruleus Neuronal Activity</i> , European Journal of Pharmacology, 115 (1985), pp. 139-146. |
| C06 | Howell, S. R., et al., <i>Xenobiotica</i> 24(4):315-327 (1994). |
| C07 | Klamerus, K.J., et al., <i>J. Clin. Pharmacol.</i> , 32:716-724 (1992). |
| C08 | Moyer et al., <i>Subsensitivity of the Beta-Adrenergic Receptor-Linked Adenylate Cyclase System of Rat Pineal Gland Following Repeated Treatment with Desmethylimipramine and Nialamide</i> , <i>Molecular Pharmacology</i> , 19:187-193, 1981. |
| C09 | Moyer et al., <i>In Vivo Antidepressant Profiles of the Novel Bicyclic Compounds Wy-45,030 and Wy-45,881</i> , Abstracts Society for Neuroscience, October 1984, pg. 261. |
| C10 | Muth, E.A., et al., <i>Drug Develop. Res.</i> , 23:191-199 (1991). |
| C11 | Muth et al., <i>Antidepressant Biochemical Profile of the Novel Bicyclic Compounds Wy-45,030, an Ethyl Cyclohexanol Derivative</i> , <i>Biochemical Pharmacology</i> , Vol. 35, No. 24, 1986, pp. 4493-4497. |
| C12 | Pento, J.T., <i>Drugs of the Future</i> , 13(9):839-840 (1988). |
| C13 | <i>Physicians' Desk Reference</i> pp. 3293-3302 (53 rd ed., 1999). |
| C14 | <i>Remingtons: The Practice of The Science and Pharmacy</i> , 19 th ed., Gennaro, ed., p. 1625 (1995). |
| C15 | Rudaz, S., et al., <i>Chromatographia</i> , Vol. 50, No. 5/6, 369-372(1999). |
| C16 | Sinclair, J., et al., <i>Rev. Contemp. Pharmacother.</i> , 9:333-334 (1988). |
| C17 | Steiner et al., <i>Radioimmunoassay for Cyclic Nucleotides</i> , <i>The Journal of Biological Chemistry</i> , Vol. 247, No. 4, February 25, 1972, pp. 1106-1113. |
| C18 | von Moltke, L.L., et al., <i>Biol. Psychiatry</i> , 41:377-380 (1997). |
| C19 | Wang, C. Paul, et al., <i>Chirality</i> , 4(2), 84-90 (1992). |
| C20 | Wilens et al., <i>Strategies in Optical Resolutions</i> , <i>Tetrahedron</i> , Vol. 33, 1977, pp. 2725 to 2736. |
| C21 | Wood et al., <i>The Rapid Preparation of Synaptosomes, Using a Vertical Rotor</i> , <i>Journal of Neurochemistry</i> , Vol. 37, No. 3, September 1981, pp. 795-797. |
| C22 | Yardley., J.P., et al. <i>J. Med. Chem.</i> 33:2899-2905 (1990) |

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